

PDA's and CFGs

Ashley Montanaro

`ashley@cs.bris.ac.uk`

Department of Computer Science, University of Bristol
Bristol, UK

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PDAs and CFGs

One of the main reasons for studying PDAs is that there is a close connection between them and **context-free grammars** (CFGs).

Theorem

For any language \mathcal{L} , there exists a PDA which recognises \mathcal{L} if and only if \mathcal{L} is context-free.

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The proof of the theorem is split into two parts:

1. If \mathcal{L} is context-free, then there exists a PDA which recognises it.
2. If a PDA recognises \mathcal{L} , then there is a CFG which generates \mathcal{L} .

CFLs: a recap

Recall that a **context-free grammar** (CFG) is a set of rules like

$$\begin{aligned}S &\rightarrow aSa \mid bTb \\T &\rightarrow Ta \mid \varepsilon\end{aligned}$$

generating strings like

$$S \rightarrow aSa \rightarrow abTba \rightarrow abTaba \rightarrow abababa$$

Variables are denoted by capital letters, terminals (input characters) by lower-case letters.

\mathcal{L} is a **context-free language** (CFL) if all its strings can be produced by the application of a sequence of rules from some CFG.

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We want to show that, given a language which is generated by a CFG, it can be recognised by a PDA.

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2. Repeatedly guess substitutions that replace variables on the stack with new variables.
3. We eventually end up with a string of terminal characters.
4. Accept if this string matches the input string.

A problem with this idea: it seems that we need to replace variables midway down the stack.

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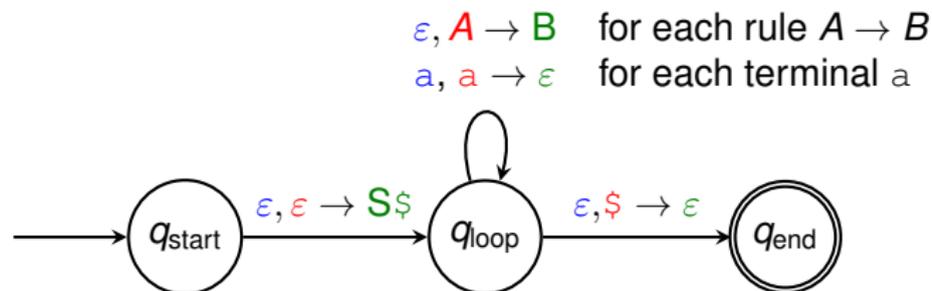
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 - ▶ ... the symbol $\$$, and the input has all been read, accept.

This algorithm can be described by a PDA with only three states!

Recognising CFLs

This is described by the diagram



- ▶ Here S is the start variable.
- ▶ The stack alphabet is given by the union of the set of terminal symbols, the set of variable symbols, and $\{\$, \epsilon\}$.

Example

Consider the language described by the following CFG:

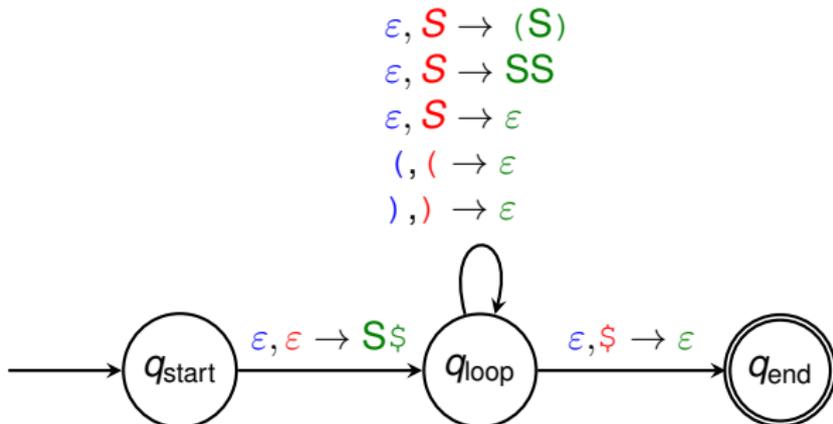
$$S \rightarrow (S) \mid SS \mid \varepsilon$$

Example

Consider the language described by the following CFG:

$$S \rightarrow (S) \mid SS \mid \varepsilon$$

Using this construction, we get the following (generalised) PDA:



We can then modify the PDA as before to replace the transitions which push multiple symbols onto the stack.

We track one path of this PDA's execution, demonstrating that it accepts the string $(()) \in \mathcal{L}$.

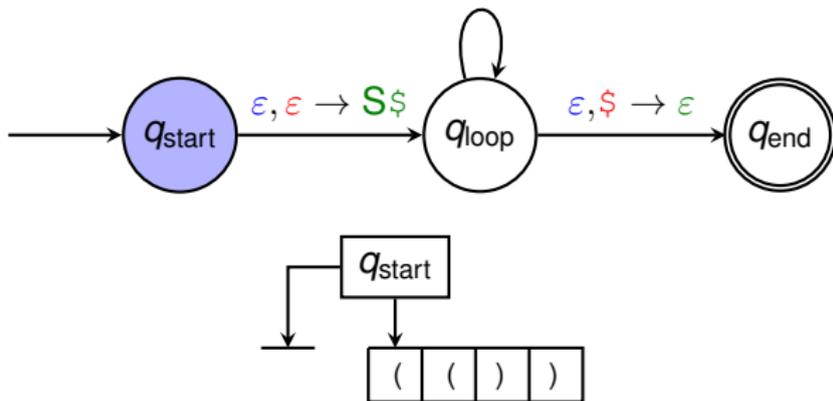
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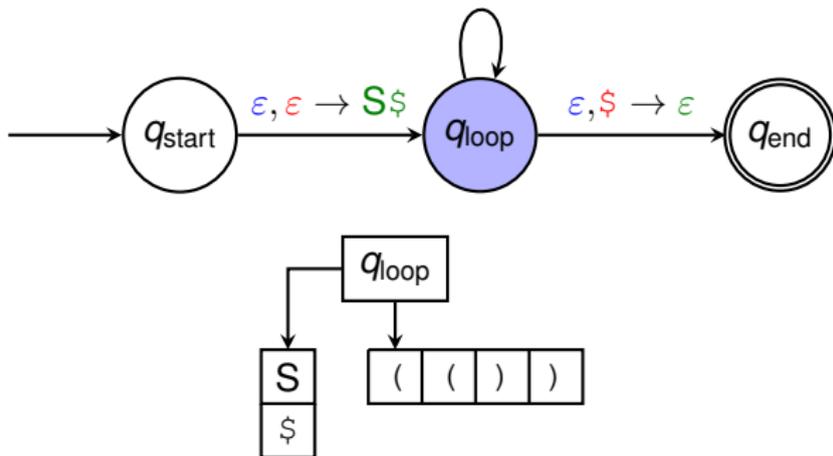
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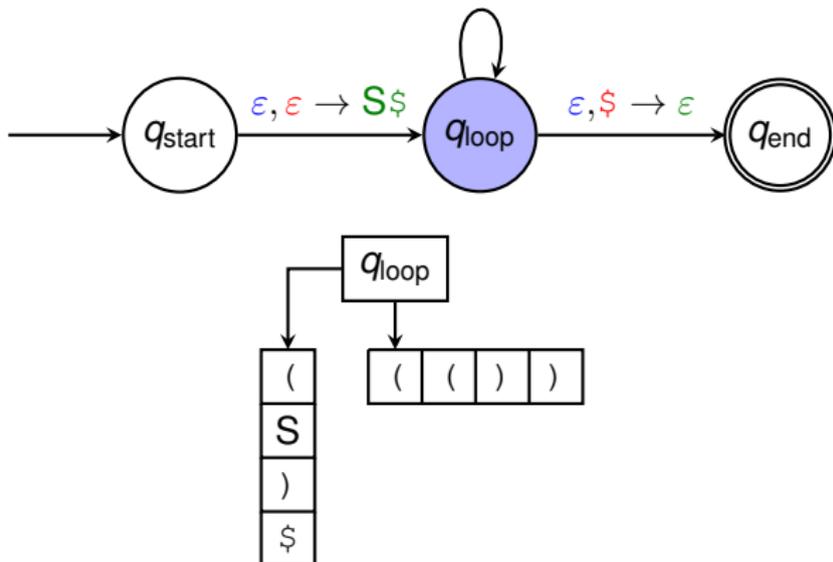
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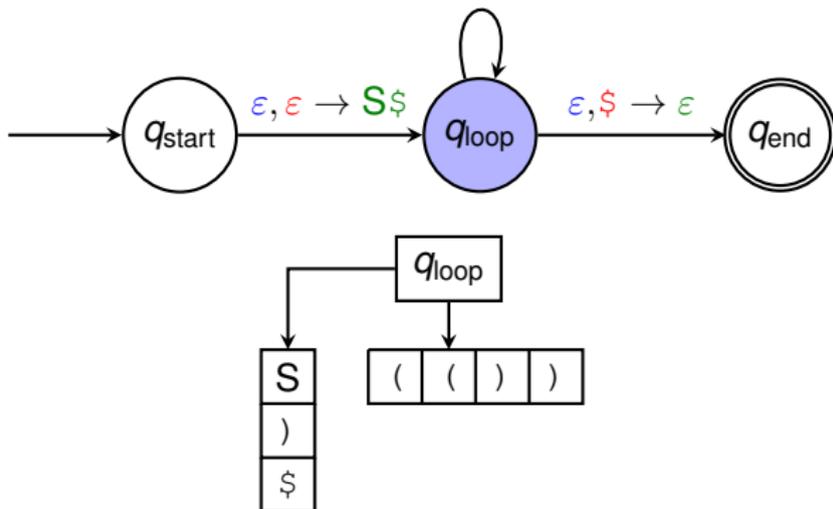
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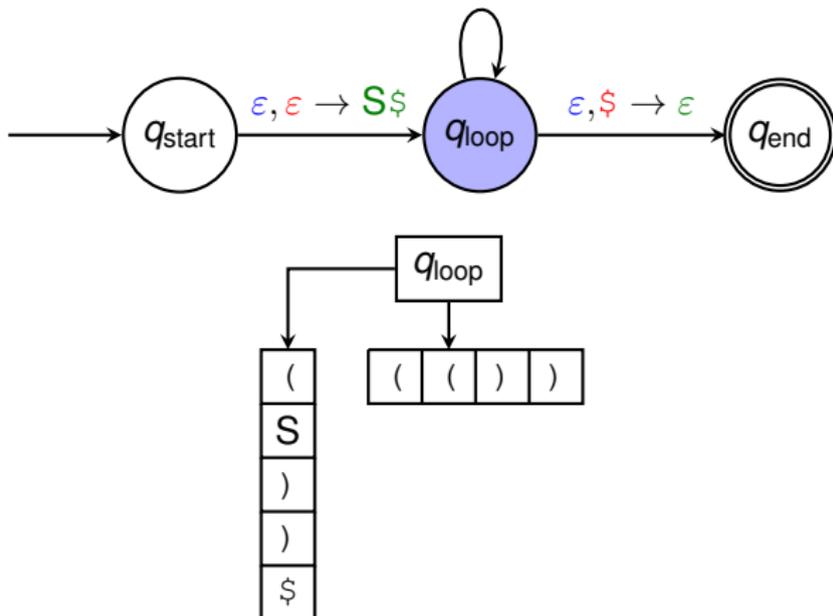
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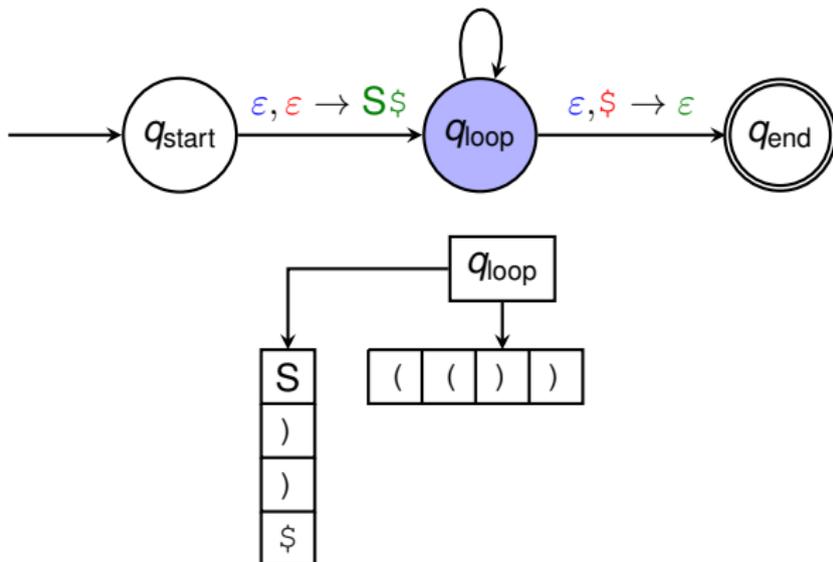
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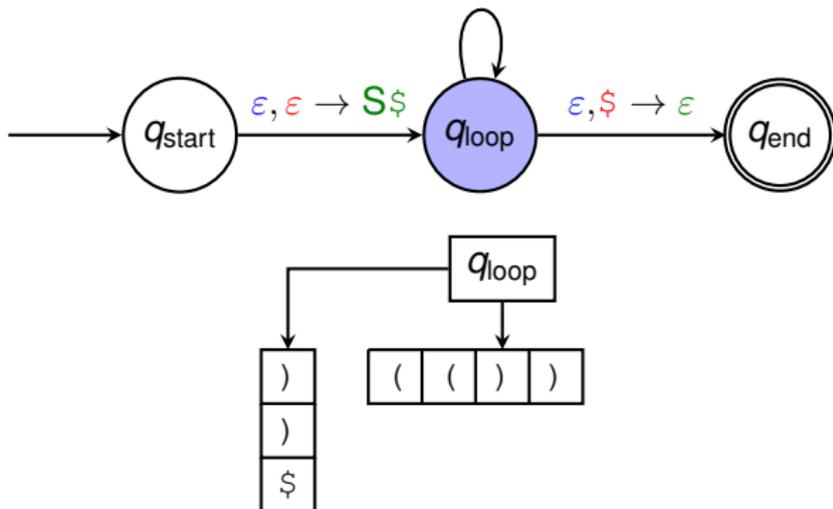
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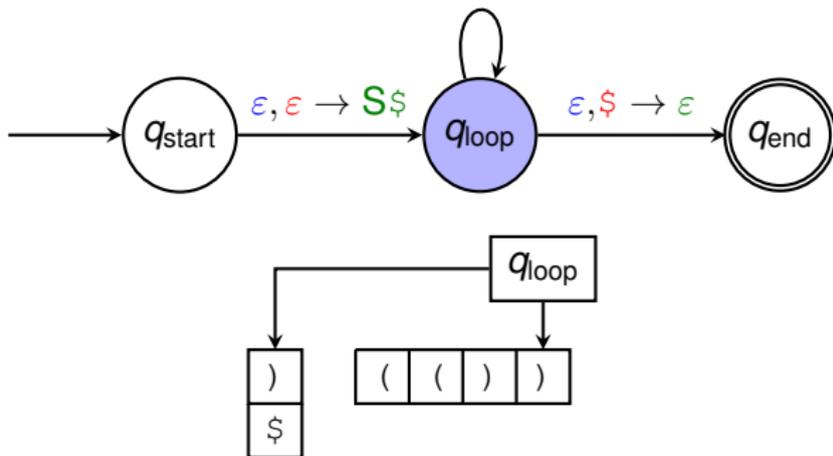
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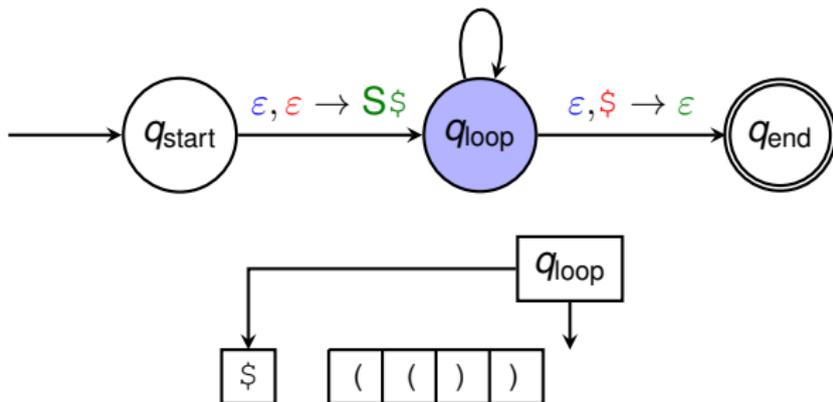
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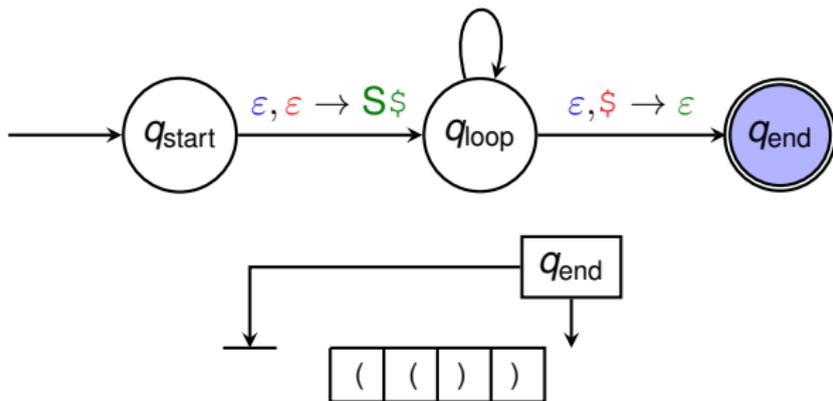
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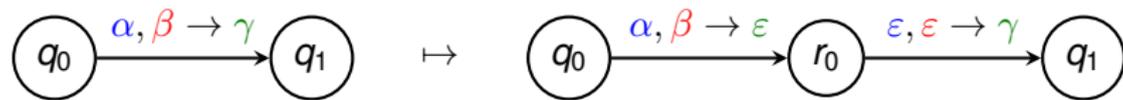
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 1. We make it have only one accept state, q_{accept} ;
 2. We make it have an empty stack when it accepts;
 3. Each transition either pushes a symbol onto the stack, or pops a symbol off, but not both.
- ▶ For (1), we add a new state with $\epsilon, \epsilon \rightarrow \epsilon$ transitions from all the accepting states.
- ▶ For (2): exercise!

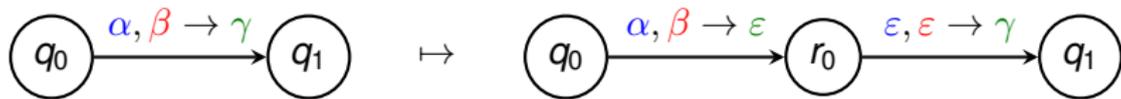
Push or pop, but not both

We can replace each transition that both pushes and pops as follows:



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We can replace each transition that neither pushes nor pops as follows:



The basic idea

We construct a CFG G from P as follows:

- ▶ For every pair of states q and r in P , we have a variable A_{qr} .
- ▶ We will define the rules of G so that A_{qr} generates all the strings which can transform P from state q (with an empty stack) to state r (with an empty stack).
- ▶ Then, if q_{start} is the start state and q_{accept} is the end state, we have $A_{q_{\text{start}}q_{\text{accept}}}$ as the start variable in G .
- ▶ Then a string x is derivable from G if and only if P accepts x .

The rules of G

Any sequence of transitions from q to r such that the stack is empty at the start and at the end must begin with a **push** and end with a **pop**.

- ▶ Either the first symbol **pushed** onto the stack is **popped** off the stack at the end, or **popped** off the stack somewhere in the middle. If the former, the stack isn't empty until the end; if the latter, the stack is empty when the first symbol is **popped**.

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- ▶ The first case corresponds to the rule $A_{qr} \rightarrow aA_{st}b$, where a is the input symbol read in state q , s is the state following q , t is the state preceding r , and b is the symbol read in state t .
- ▶ The second case corresponds to the rule $A_{qr} \rightarrow A_{qu}A_{ur}$, where u is the state where the stack becomes empty.

More formally

Assume we have a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{\text{accept}}\})$.

The CFG G corresponding to P

- ▶ The variables are $\{A_{qr} \mid q, r \in Q\}$
- ▶ The start variable is $A_{q_0 q_{\text{accept}}}$

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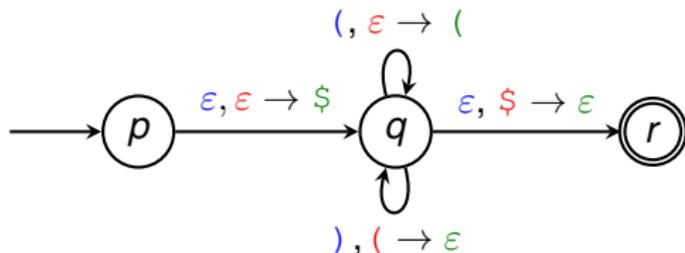
The CFG G corresponding to P

- ▶ The variables are $\{A_{qr} \mid q, r \in Q\}$
- ▶ The start variable is $A_{q_0 q_{\text{accept}}}$
- ▶ The rules are:
 - ▶ For each $p, q, r, s \in Q, t \in \Gamma$, and $a, b \in \Sigma_\varepsilon$:
if $(r, t) \in \delta(p, a, \varepsilon)$ and $(q, \varepsilon) \in \delta(s, b, t)$, $A_{pq} \rightarrow aA_{rs}b$
 - ▶ For each $p, q, r \in Q$: $A_{pq} \rightarrow A_{pr}A_{rq}$
 - ▶ For each $p \in Q$: $A_{pp} \rightarrow \varepsilon$

More informally, the first case is where there is a transition from p to r which pushes t and a transition from s to q which pops t .

Example

We convert the following PDA into a CFG:



1. We have 9 variables: $A_{pp}, A_{pq}, A_{pr}, A_{qp}, A_{qq}, A_{qr}, A_{rp}, A_{rq}, A_{rr}$.
2. The start variable is A_{pr} .
3. We have the following rules:

$$A_{pr} \rightarrow \epsilon A_{qq} \epsilon$$

$$A_{qq} \rightarrow (A_{qq})$$

4. We also have the rule $A_{ac} \rightarrow A_{ab}A_{bc}$ for every triple of states (a, b, c) .
5. Finally, we have the rules $A_{pp} \rightarrow \epsilon, A_{qq} \rightarrow \epsilon, A_{rr} \rightarrow \epsilon$.

Proof of correctness

We will show that, for any states q and r , A_{qr} generates x if and only if x can take P from state q (with empty stack) to state r (with empty stack).

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We use induction on the number of steps in the derivation of x from A_{qr} .

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- ▶ So assume we have a derivation $A_{qr} \xRightarrow{*} x$ of length $k + 1$.

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2. $A_{qr} \Rightarrow A_{qs}A_{sr}.$

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2. $A_{qr} \Rightarrow A_{qs}A_{sr}$. Then $x = yz$ for strings y and z generated by A_{qs} and A_{sr} . The claim follows from the inductive hypothesis.



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Claim (second direction)

If x can take P from state q (with an empty stack) to state r (with an empty stack), A_{qr} generates x .

Again the proof is by induction, this time on the number of steps in the computation. The proof is a formalisation of the description of the definition of G .

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Proof sketch

- ▶ **Base case:** The computation has 0 steps, starting and ending at some state p . We must have $x = \varepsilon$, and we have the rule $A_{pp} \rightarrow \varepsilon$.
- ▶ **Inductive step:** We split into two cases: Either the stack is empty only at the beginning and end of the computation, or it becomes empty in the middle.

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- ▶ **Case 1:** Let the state of P after the first move be s , and the state before the last move be t . By the definition of G , it must contain a rule of the form $A_{qr} \rightarrow aA_{st}b$.

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- ▶ **Case 1:** Let the state of P after the first move be s , and the state before the last move be t . By the definition of G , it must contain a rule of the form $A_{qr} \rightarrow aA_{st}b$. Write $x = ayb$. P must push some symbol u onto the stack at the start, and pop u at the end. By ignoring this symbol, on input y , P can bring s with an empty stack to t with an empty stack. By the inductive hypothesis, $A_{st} \xRightarrow{*} y$, so $A_{qr} \xRightarrow{*} x$.
- ▶ **Case 2:** Let s be the state where the stack becomes empty, and split $x = yz$ for the parts read before and after reaching s .

Proof of correctness

Claim (second direction)

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- ▶ **Case 2:** Let s be the state where the stack becomes empty, and split $x = yz$ for the parts read before and after reaching s . By inductive hypothesis, $A_{qs} \xRightarrow{*} y$, $A_{sr} \xRightarrow{*} z$. As $A_{qr} \rightarrow A_{qs}A_{sr}$ is in G , $A_{qr} \xRightarrow{*} x$. □

The final result

Putting these results together, we have shown:

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Corollary

The set of regular languages is strictly contained within the set of context-free languages.

(You had already seen the “strictness” part that there exist context-free languages which are not regular.)

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Putting these results together, we have shown:

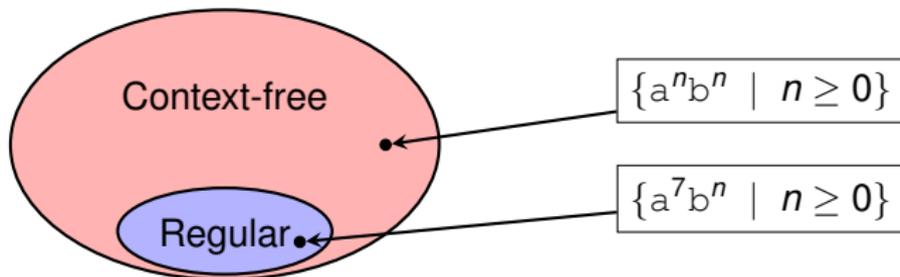
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Summary and further reading

- ▶ Given a language \mathcal{L} , there exists a PDA recognising \mathcal{L} if and only if \mathcal{L} is context-free.
- ▶ Given a CFG, we can write down a PDA recognising the corresponding language; given a PDA, we can write down a CFG generating the language that P recognises.
- ▶ The former direction is noticeably easier than the latter!
- ▶ Further reading: Sipser §2.2.