

# Quantum search of partially ordered sets

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Searching for a specific element in a set of elements that have some kind of **structure** is a fundamental task in computer science.

Let's consider the most basic such task: searching a list of  $n$  integers for a specific integer. How many queries to the list do we need?

# Motivation

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Sorted	$\Theta(\log n)$ queries	$\Theta(\log n)$ queries

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How can we model some kind of **partial** structure between these two extremes?

# Partially ordered sets

We can write a set unambiguously as a sorted list if it is **totally ordered**, i.e. every pair of elements is comparable.

We consider sets where some elements may be **incomparable**. This can be defined by a **partial order** on the set.

## Posets

**Definition:** A partially ordered set (poset) is a set  $S$  equipped with an order relation  $\leq$ , such that, for  $a, b, c \in S$ :

- 1  $a \leq a$
- 2  $(a \leq b) \wedge (b \leq a) \Rightarrow a = b$
- 3  $(a \leq b) \wedge (b \leq c) \Rightarrow a \leq c$

(We define additional relations  $\geq$ ,  $<$ ,  $>$  in the obvious way.)

# Partially ordered sets

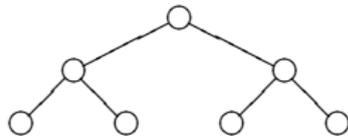
We can visualise partially ordered sets using **Hasse diagrams**.



Totally ordered set



Unstructured set



Tree-like poset

# Partially ordered sets

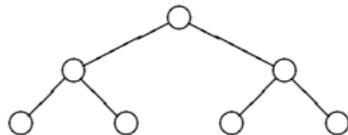
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Totally ordered set



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Some more definitions:

- A *chain* is a subset whose elements are all comparable
- An *antichain* is a subset whose elements are all incomparable
- The *height* of a poset is the size of its largest chain
- The *width* of a poset is the size of its largest antichain

The poset we are searching will always be called  $S$  and will contain  $n$  elements.

I will attempt to answer the following questions:

- When can quantum computers achieve any reduction in the number of queries required to search posets?
- What are the limits of this reduction (e.g. can we beat Grover's algorithm for unstructured sets?)
- Can we come up with interesting quantum algorithms for searching posets?
- Are there any applications outside of this model?

I will discuss:

- Part 0: two models for quantum search
- Part 1: the abstract model
  - ① General lower and upper bounds on query complexity
  - ② Searching forest-like posets
- Part 2: the concrete model
  - ① General lower and upper bounds on query complexity
  - ② Searching a partially sorted array
  - ③ The intersection of two ordered lists

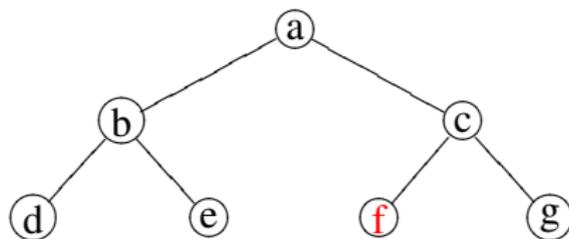
## Part 0: two models for quantum search

It turns out that there are **two** natural models for poset search.

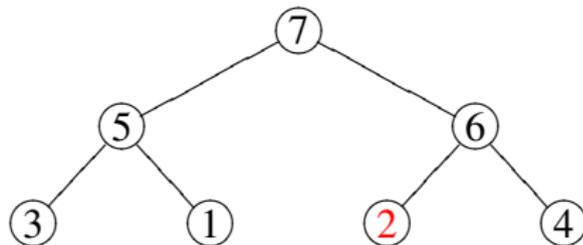
- In the **abstract** model, we search for an unknown “marked” element  $a$  of the set. Querying an element  $x$  returns one of  $\{<, =, \not\leq\}$  according to whether  $a < x$ ,  $a = x$  or  $a \not\leq x$ .
- In the **concrete** model, we consider each element in the poset to store an integer. Querying an element returns that integer. The goal is to find where a known “target” integer is stored.

# Illustrating the two models

Abstract model:

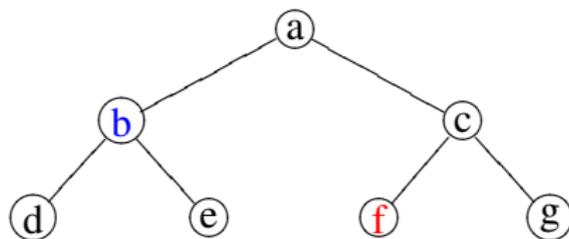


Concrete model:

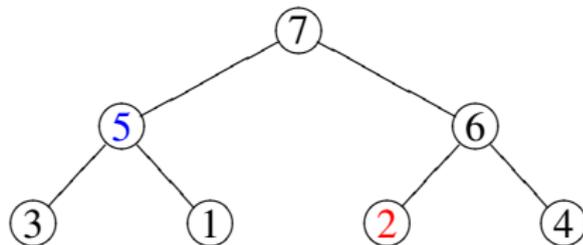


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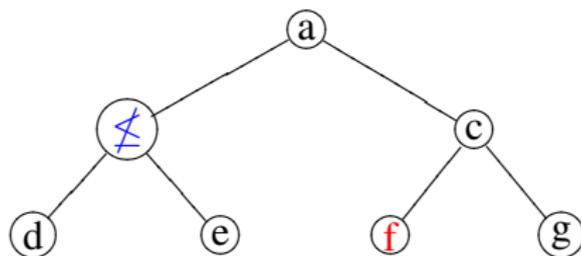


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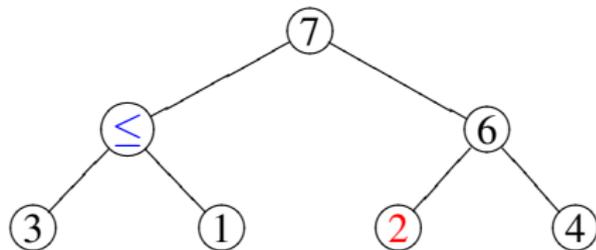


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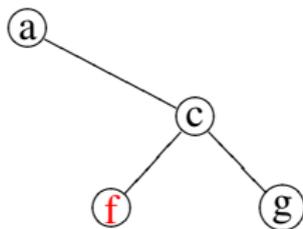


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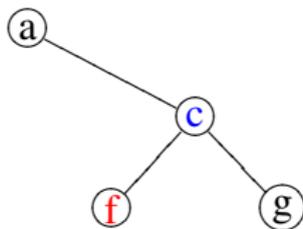


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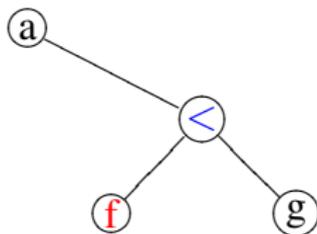


Concrete model:



# Illustrating the two models

Abstract model:



Concrete model:



# Illustrating the two models

Abstract model:



Concrete model:



# Measuring complexity in these models

We will measure the difficulty of poset search using the framework of **query complexity**.

- We count the number of queries to an appropriate **oracle**.
- When passed an element  $x$  of the set, an oracle query returns either the integer  $S[x]$  stored there (concrete model), or the relationship  $\{<, =, \not< \}$  between  $x$  and the unknown “marked” element  $a$  (abstract model).

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## Definition

For a poset  $S$ , let the minimum number of queries required to find the target element be

$$\left. \begin{array}{l} D(S) \\ Q_E(S) \\ Q_2(S) \end{array} \right\} \text{ for a } \left\{ \begin{array}{l} \text{exact classical} \\ \text{exact quantum} \\ \text{bounded-error quantum} \end{array} \right\} \text{ algorithm.}$$

We'll usually assume there is only one target element.

## Classical:

- The poset search problem in the concrete model was introduced by Linial and Saks<sup>1</sup>, who gave asymptotically tight bounds on the query complexity.
- The abstract model was introduced by Ben-Asher, Farchi and Newman<sup>2</sup>. Subsequent authors have given algorithms for finding optimal query sequences for a given poset.
- Finding the optimal such sequence for a general poset is NP-complete but can be done efficiently for forest-like posets.

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## Quantum:

- Problem only considered for totally ordered and unstructured sets.

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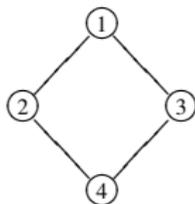
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# Part 1: The abstract model

We obtain lower and upper bounds in this model by a reduction to the **oracle identification problem** of Ambainis et al<sup>3</sup>.

- For each possible marked element  $a$ , we have an oracle which, given  $x \in S$ , returns  $f_a(x) \in \{<, =, \not\leq\}$ . Encode this output as 2 bits, giving a Boolean function.



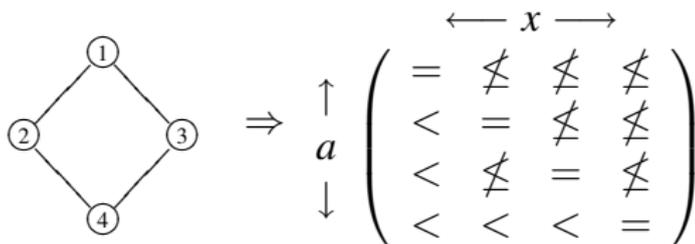
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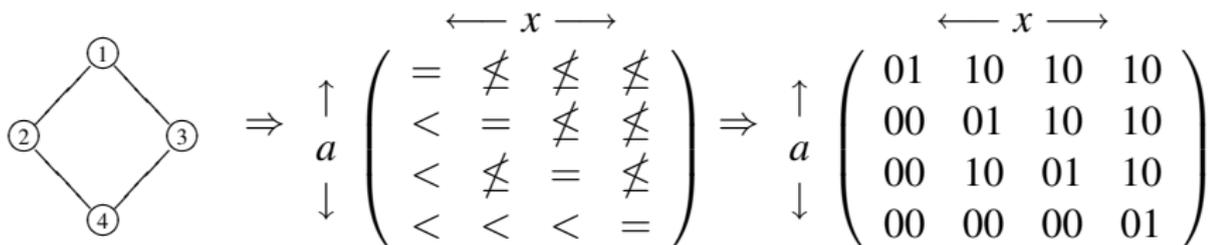


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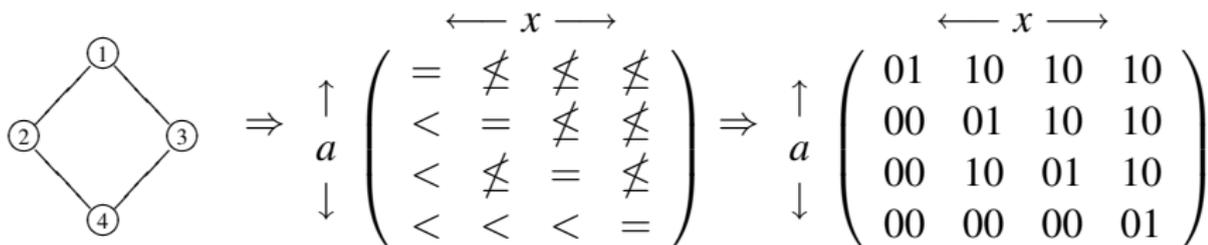


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- So the problem becomes: distinguish the  $n$  different Boolean functions corresponding to different values of  $a$ .
- Strong upper and lower bounds are already known for this problem!

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# The bounds of Atici, Gortler and Servedio

- Atici, Gortler and Servedio studied this problem from the perspective of computational learning theory<sup>4</sup>.
- Servedio and Gortler find upper and lower bounds in terms of a parameter  $\gamma^S$ :

$$\gamma^S = \min_{S' \subseteq S, |S'| \geq 2} \max_{a \in \{0,1\}^m} \min_{b \in \{0,1\}} \frac{|S'_{a,b}|}{|S'|}$$

where:

- $m$  is the number of bits functions are defined on
- $S'_{a,b}$  is the subset of  $S'$  taking value  $b$  on input  $a$

Informally: the maximum fraction of functions which a classical algorithm can be sure of removing from consideration with a single query.

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<sup>4</sup>R. Servedio, S. Gortler. Quantum vs. classical learnability. *Proc. CCC'01*

A. Atici, R. Servedio. Improved bounds on quantum learning algorithms. *Quantum Information Processing* 4

# Bounds on poset search in the abstract model

Translating their results into our setting, we have:

## Theorem

For a poset  $S$  with  $n$  elements,

$$Q_2(S) = \Omega\left(\frac{1}{\sqrt{\gamma^S}}\right) \text{ and } D(S) = O\left(\frac{\log n}{\gamma^S}\right)$$

So  $D(S) = O(Q_2(S)^2 \log n)$ .

Atici and Servedio give a quantum algorithm that almost achieves this query complexity, giving  $Q_2(S) = O\left(\log n \log \log n / \sqrt{\gamma^S}\right)$ . Can we do better?

# Sketch of general poset search algorithm

Idea behind Atici and Servedio's algorithm:

- 1 Maintain a set of items that could be the marked item
- 2 Use Grover search on a set of size about  $\frac{1}{\gamma^s}$  items to reduce the size of this set by about half
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Ends up almost giving an  $O\left(\log n / \sqrt{\gamma^S}\right)$  bounded-error algorithm: the  $O(\log \log n)$  factor comes from needing to repeat the Grover search step to improve its success probability.

(in fact, that bit can be improved to  $O(\sqrt{\log \log n})$  using a version of amplitude amplification for high success probabilities)

# Searching forest-like posets

We give a new algorithm based on similar ideas that searches **forest-like** posets. Advantages:

- The Grover search step can be made exact, giving an overall time complexity of  $O\left(\log n / \sqrt{\gamma^S}\right)$
- The new algorithm is exact...
- ...and it can easily be extended to searching posets with multiple marked elements.
  - (though we regain an  $O(\sqrt{\log \log n})$  penalty in query complexity and the algorithm becomes bounded-error again)

Idea: find a set  $G$  of about  $\frac{1}{\gamma^S}$  items such that the marked element is a “descendant” of at most one element of  $G$ .

# The algorithm

To find the marked element  $a \in S$ :

- 1 Maintain a set  $T$  of items that could be the marked item.
- 2 Find the **most central element**  $v \in T$ .
  - If  $v$  is maximal, set  $G = \{\text{maximal elements of } T\}$
  - Otherwise, set  $G = \{\text{siblings of } v\}$
- 3 Perform exact Grover search on  $G$  to find  $g \in G$  such that  $a \leq g$ .
- 4 Remove everything from  $T$  that isn't a descendant of  $g$ .
- 5 Repeat until  $T$  has one or zero elements.

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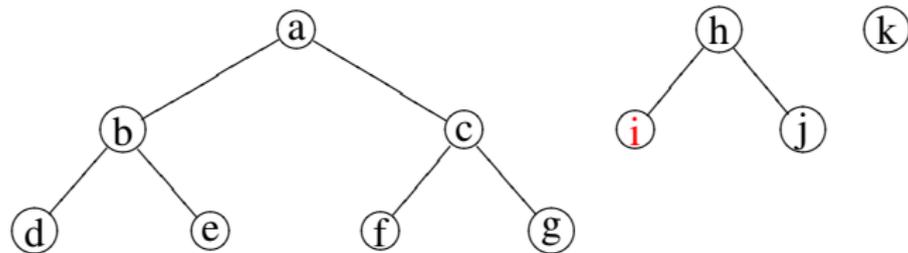
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Explanation:

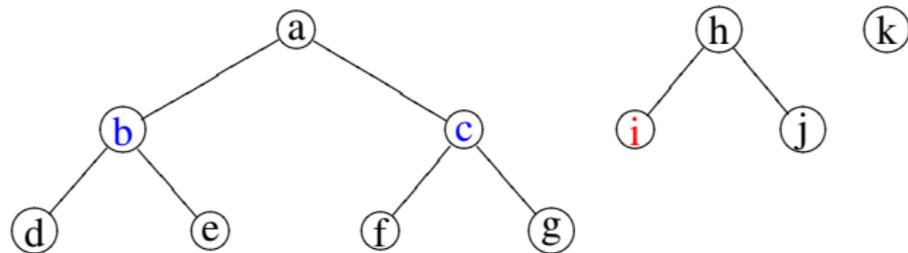
- The **weight** of  $v \in S$  is  $wt(v) = |\{x : (x \in S) \wedge (x \leq v)\}|$
- The **most central element**  $v \in T$  has maximal weight, given that  $wt(v) \leq \lceil |S|/2 \rceil$ .

One can prove that  $|G| \approx 1/\gamma^S$  and each step removes  $\approx 1/2$  of the elements in  $T$ .

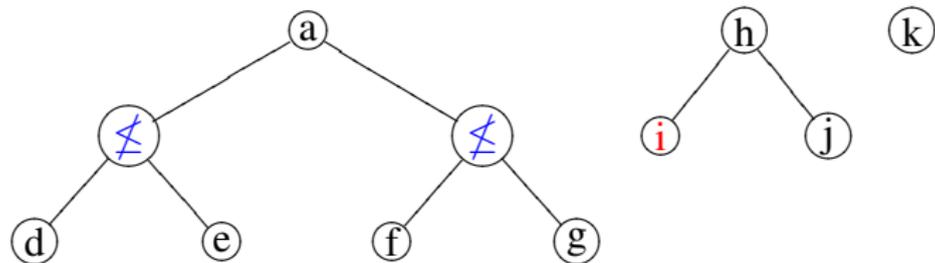
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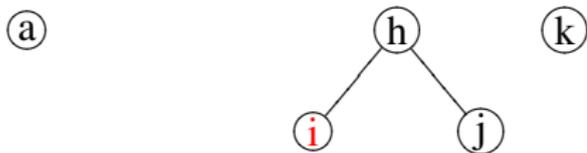
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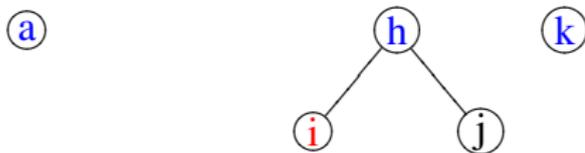
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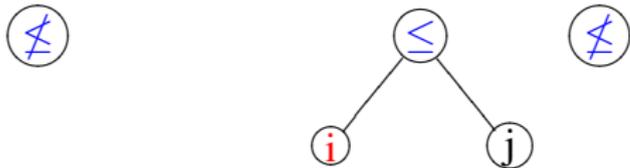
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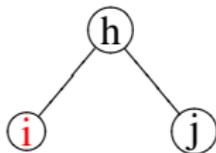
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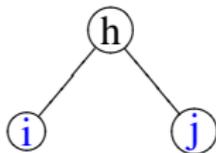
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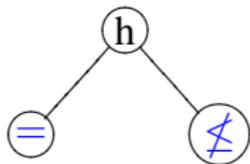
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## Part 2: the concrete model

**Recap:** In the concrete model, we consider each element  $x$  in the poset to store an integer  $S[x]$ . Querying an element returns that integer. The goal is to find where a known “target” integer is stored.

## Part 2: the concrete model

**Recap:** In the concrete model, we consider each element  $x$  in the poset to store an integer  $S[x]$ . Querying an element returns that integer. The goal is to find where a known “target” integer is stored.

- This model appears harder to analyse because the query complexity depends not only on the poset structure, but on the integers stored in the poset.
- We will show a general lower bound on query complexity based on the width  $w(S)$  of a poset  $S$  – i.e. the size of the “largest unsorted subset”.
- It turns out that this almost **completely** determines the query complexity.

# The lower bound

$T \subseteq S$  is a **section** of  $S$  if  $(x \in T) \wedge (z \in T) \wedge (x < y < z) \Rightarrow y \in T$ .

## Theorem

Let  $T$  be a section of  $S$ . Then  $D(S) \geq D(T)$ ,  $Q_E(S) \geq Q_E(T)$  and  $Q_2(S) \geq Q_2(T)$ .

(NB: not trivial! e.g. doesn't hold if  $T$  is a general subset of  $S$ )

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So, as:

- an antichain  $T \subseteq S$  is a section of  $S$ .
- we can use a standard lower bound on inverting a permutation to lower bound  $Q_2(T)$

we have:

## Theorem

$D(S) = \Omega(w(S))$  and  $Q_2(S) = \Omega(\sqrt{w(S)})$ .

Upper bounds in the concrete model follow from **Dilworth's Theorem**:

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Let  $S$  be an  $n$ -element poset with  $w(S) = k$ . Then  $S$  is the union of  $k$  disjoint chains.

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## Dilworth's Theorem

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We can search a chain of length  $l$  in time  $O(\log l)$  using binary search. As there are  $w(S)$  chains, we have

## Upper bounds

$$D(S) = O(w(S) \log h(S))$$

$$Q_E(S) = O(\sqrt{w(S)} \log h(S))$$

because we can search the chains in quantum parallel!

So we've shown the following theorem.

## Theorem

Let  $S$  be an  $n$ -element poset, and let  $D(S)$  and  $Q_2(S)$  be the number of queries required for an exact classical or bounded-error quantum (respectively) algorithm to find the target element in  $S$ , in either of the two models discussed above. Then

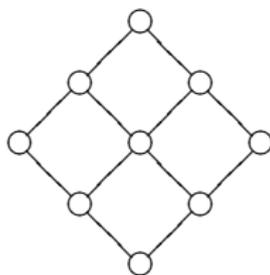
$$\begin{aligned} D(S) &= O(Q_2(S)^2 \log n) \\ Q_2(S) &= \begin{cases} O(\sqrt{D(S)} \log n \sqrt{\log \log n}) & \text{(abstract model)} \\ O(\sqrt{D(S)} \log n) & \text{(concrete model)} \end{cases} \end{aligned}$$

...we can't do much better than Grover's algorithm for **any** poset, but we can almost achieve the optimal quantum improvement.

# Searching partially sorted arrays

An interesting problem in the concrete model: search a multidimensional integer array  $A$  sorted in each dimension, i.e.  
 $(i_1 \leq j_1) \wedge (i_2 \leq j_2) \wedge \cdots \wedge (i_d \leq j_d) \Rightarrow A(i_1, \dots, i_d) \leq A(j_1, \dots, j_d)$ .

1	3	6
2	4	8
5	7	9



**Figure:** A  $3 \times 3$  2-dimensional array sorted by rows and columns, and its corresponding Hasse diagram.

This immediately gives rise to a poset; but we'll mostly think in terms of the original array.

# Searching partially sorted arrays

We're particularly interested in  $d$ -dimensional  $m \times m \times \dots \times m$  arrays.

- One can show that for this poset  $w(S) = \Theta(d^{m-1})$ . So the general algorithm gives a query complexity of  $O(d^{(m-1)/2} d \log m)$ . Can we improve on this?
- Yes! We can achieve the optimal query complexity of  $O(d^{(m-1)/2})$ .

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Some results that were already known:

- An optimal classical algorithm achieving  $D(S) = O(d^{m-1})$
- A result of Buhrman et al<sup>5</sup> can be adapted to this setting to achieve  $O(d^{(m-1)/2} c^{\log^* m})$  for some constant  $c$ 
  - $\log^*(x)$  is the iterated log function (number of logs needed to reduce  $x$  to  $\leq 2$ )

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- What if we could search an  $m \times m$  array with a bounded-error quantum algorithm using  $O(\sqrt{m})$  queries?
  - Would imply the optimal  $d$ -dimensional algorithm: we can split the array into  $m^{d-2}$  disjoint 2-dimensional arrays and use each 2-dimensional search as an oracle within an overall application of quantum search.

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  - Would imply the optimal  $d$ -dimensional algorithm: we can split the array into  $m^{d-2}$  disjoint 2-dimensional arrays and use each 2-dimensional search as an oracle within an overall application of quantum search.
- The general algorithm given earlier nests binary search on the rows within Grover search on the columns to achieve  $O(\sqrt{m} \log m)$  queries.
- **Goal:** beat this and find the optimal 2-dimensional quantum search algorithm.
- **Idea:** find a classical 2-dimensional algorithm that's amenable to quantum speed-up.

# An asymptotically optimal classical algorithm

Given an  $m \times m$  array  $A$ :

- 1 Perform binary search on the middle row/column of  $A$ .
- 2 After binary search, can eliminate two subarrays of  $A$  containing about half the elements in  $A$ .
- 3 We're left with two subarrays which might contain the target element: recurse on these subarrays.

Can show query complexity  $D(m) \leq O(\log m) + 2D(m/2) = O(m)$ .

(a different optimal classical algorithm was already known, but seems harder to “make quantum”)

## Example: search of a $5 \times 5$ array

1	3	5	10	13
2	4	7	11	14
6	8	9	15	21
12	16	17	20	24
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Searching for the element 11:

- Yellow squares are those that are searched in each round
- Light grey squares have been excluded from consideration
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- Here, 11 is found with only 2 levels of recursion.

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# Optimal quantum search of a 2-dimensional array

- **Idea:** perform the recursive search of the two subarrays in quantum parallel.
- Want to end up with a recurrence like
$$Q_2(m) \leq O(\log m) + \sqrt{2} Q_2(m/2) = O(\sqrt{m}).$$
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$$Q_2(m) \leq 2^k O(\log m) + O(2^{k/2}) Q_2(m/2^k) = O(m^{1/2+c})$$
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Moral: We have to be very careful about constants in this recursive algorithm!

# A general recursive quantum search algorithm

- Goal: a “cookbook” way of “quantising” recursive classical search algorithms
- We extend a powerful result of Aaronson and Ambainis<sup>6</sup> on quantum search of spatial regions
- **Idea:** it’s more efficient to do fewer iterations of amplitude amplification

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- **Idea:** it’s more efficient to do fewer iterations of amplitude amplification
- So our recursive algorithm performs “a small amount of” amplitude amplification on an algorithm that consists of:
  - Divide the input into some number of subinputs
  - Pick one of these subinputs at random
  - Call yourself on that subinput
- Then it does “lots” of amplitude amplification at the end.
- Importantly, can find **exact** bounds on the number of queries required to achieve a certain success probability!

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# A general “recursive quantum search theorem”

- Let problem  $P_n$  be: search an abstract database, with an abstract “size”  $n$ , for a known element which may or may not be in the database.
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Then  $T(n) = O(\sqrt{n})$ .

# The intersection of two ordered lists

**Problem:** Given two lists of  $n$  sorted integers, output an element that occurs in both lists, or “not found”.

1	2	4	4	8	9	10
---	---	---	---	---	---	----

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- Obvious classical lower bound is  $2n$  queries (have to read all the input in)
- “Obvious” quantum algorithm uses  $O(\sqrt{n} \log n)$  queries (wrap binary search in one list within Grover search on the other)
- Buhrman et al gave an ingenious  $O(\sqrt{nc}^{\log^* n})$  algorithm
- Lower bound is  $\Omega(\sqrt{n})$  queries

We give an algorithm matching this lower bound.

# Reducing the problem to poset search

We can (almost) use the algorithm for searching the 2-dimensional array.

- Consider a notional  $n \times n$  array  $T$  where  $T(x, y) = L_x - M_{m+1-y}$  for lists  $L$  and  $M$
- Then finding a zero in  $T$  finds a match in the two lists.

	8	7	6	6	5	4	3
1	-7	-6	-5	-5	-4	-3	-2
2	-6	-5	-4	-4	-3	-2	-1
4	-4	-3	-2	-2	-1	0	1
4	-4	-3	-2	-2	-1	0	1
8	0	1	2	2	3	4	5
9	1	2	3	3	4	5	6
10	2	3	4	4	5	6	7

# Finishing off the algorithm

**Problem:** The poset search algorithm can only cope with at most one marked element.

Solution:

- Note that the zeroes only occur in rectangular blocks, with at most one block per row and column
- If there's only one such "zero block", can modify the search algorithm to pretend that it only contains one element
- If not, to reduce to the single-block case, repeatedly throw away random rows and columns over several rounds
- Can show that with constant probability, one round will have only one zero block remaining
- Can also show that the asymptotic query complexity isn't hurt by doing this

# Summary and further work

- We have obtained general and almost tight upper and lower bounds on quantum search of posets.
- Quantum computers can achieve at most a polynomial reduction in queries.
- We've given an optimal algorithm for searching a  $r \times c$  array of integers sorted by rows and columns...
- ...leading to an optimal  $O(\sqrt{n})$  algorithm to find the intersection of two  $n$  element sorted lists.

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Remaining annoyances:

- Searching for multiple elements in general posets in the abstract model?
- A general  $\Omega(\log n)$  lower bound in the abstract model?
- Tightening the upper bounds in both models.

- Further reading: “Quantum search of partially ordered sets”, [quant-ph/0702196](#)
  
- Thanks for your time!

# Search for multiple target elements

How can we extend these models to cope with multiple target elements?

- Concrete model: just allow the set to store integers that are not distinct
- Abstract model: one approach is to use an oracle that returns  $\leq$  if *any* of the “marked” elements are less than  $x$
- Lower bounds go through in both cases
- General upper bound holds in concrete model, but not in abstract model (no obvious reduction to OIP)
- Not obvious how to extend recursive quantum search theorem to this case...